

1 **Evaluation framework and datasets for climate analyses: Supporting**  
2 **adaptation of the German inland transport system**

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## 1 1. INTRODUCTION

2 Climate change and particularly extreme weather events such as heatwaves, flooding, storm  
3 surges and sea level rise may cause damage to infrastructure and disrupt transport chains. Thus  
4 they affect the availability and performance of the transport system (1-7). Adapting the transport  
5 system to the expected changes in climate and related extreme events is necessary, as efficient and  
6 reliable transportation is an important foundation for economy and society (8). This requires the  
7 development of targeted climate services that go beyond basic climatological statistics and  
8 integrate user requirements (9).

9 Risk assessment frameworks have been defined and tested on different transport network  
10 types and territories (3; 4; 10-13) in order to explore extreme weather and climate related risks and  
11 to decide on adaptation measures. Generally, these impact and risk assessments are based on  
12 several assumptions, for instance on the development of greenhouse gas emissions, land use  
13 changes, population, transport, etc. and utilize regional climate projections (7).

14 This manuscript introduces climatological datasets, methodological approaches and first  
15 results obtained within the Network of Experts (<http://www.bmvi-expertennetzwerk.de/EN>) for  
16 the inland transport system. The Network of Experts was initiated in 2016 by the German Federal  
17 Ministry of Transport and Digital Infrastructure (BMVI). It aims at contributing to a resilient and  
18 sustainable transport system in Germany by combining the competencies and resources of seven  
19 departmental research authorities and specialist authorities of BMVI (14). In this manuscript the  
20 provision of climate services including a common database and a standardized analysis framework  
21 is presented. These services support the intermodal impact analyses and the development of  
22 adaptation measures.

## 23 2. DATASETS AND METHODS

24 **Reference datasets:** The assessment of future climate trends is supported by observational  
25 datasets that allow validating climate models for a reference period and identifying recent climate  
26 trends. Deutscher Wetterdienst has compiled gridded daily observational datasets  
27 (DWD/BfG-HYRAS) with 5 km (approx. 3 miles) horizontal resolution for 1951–2006 within  
28 previous projects (15; 16). The datasets are updated until 2010/15 and additional meteorological  
29 parameters are provided in order to meet the user demand. Thereby, the parameters minimum and  
30 maximum temperature, global radiation, as well as wind speed and direction are of specific  
31 interest.

32 **Regional Climate Projections:** Our ensemble integrates regional climate model simulations  
33 from EURO-CORDEX (<http://www.euro-cordex.net/>, (17)) and from the German project  
34 ReKliEs-De (<http://reklies.hlnug.de>). Simulations with a horizontal resolution of 0.11°  
35 („EUR-0.11“; ≈ 12 km) for three RCP (Representative Concentration Pathways; (18)) scenarios  
36 (RCP2.6, RCP4.5, and RCP8.5) are used. A statistical downscaling to a 5 x 5 km grid is applied in  
37 order to be consistent with the reference datasets. A comparison to the results of former projects  
38 based on the SRES-scenarios (SRES: Special Report on Emission Scenarios, (19)) is done  
39 wherever possible (e.g., Figure 1).

40 **Bias Adjustment:** Adjusting the simulations for systematic deviations (biases) between

1 simulated and observed climate variables is important for climate change impact and adaptation  
2 studies, as these are often based on projections of variables that depend on exceeding absolute  
3 thresholds (e.g., numbers of frost days, summer days, and heavy precipitation days) (20). We are  
4 applying a multivariate bias correction algorithm suggested by Cannon (21), so that the historical  
5 runs of the climate models are optimally fitted to the observed data and the consistency between  
6 the climate variables is restored.

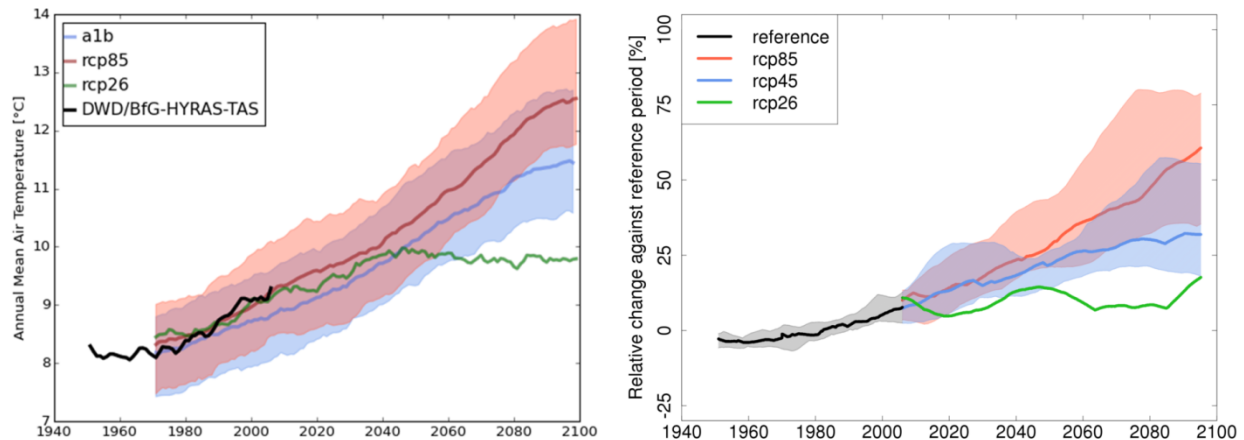
7 **Ensemble Approach:** Several aspects restricting the reliability of individual climate model  
8 run results demand for an ensemble approach in order to evaluate the range and robustness of  
9 future climatic changes. First, the assumption based simulations are no exact forecast of the future  
10 climate. Thus a comparison of different assumption based scenarios is required (22). Second, a  
11 model is always a simplification – in this case of the complex climate system with its manifold  
12 interactions and feedbacks. This may lead to systematic deviations from observed data (bias).  
13 Third, the natural variability of the climate may mimic or hide climate change signals.

14 **Analysis of climate change signals:** Generally, relative change signals with respect to a  
15 reference period are more robust than the absolute values of the climate simulations, as  
16 inaccuracies in the model formulation lead to deviations from climate observations. Therefore,  
17 climate parameters and derived indices relevant for evaluating the climate change impact on the  
18 transport sector are assessed with respect to a reference period, in our case 1971–2000. Maps  
19 showing the relative changes in 2031–2060 (near future) and 2071–2100 (far future) and  
20 regionally averaged times series of the relative change signal are created as an input for the hazard  
21 specific impact and risk assessment.

22 **Assessment of extreme events:** A kernel estimator (23) is applied in order to obtain a robust  
23 estimate of the temporal development of projected extreme precipitation events at a daily  
24 timescale. This method assigns a time weighted occurrence probability to each identified extreme  
25 precipitation event. It finally delivers a time dependent probability that a threshold – defining the  
26 extreme precipitation events – is exceeded during the historical and projected time span. Applying  
27 this non-parametric approach with relative thresholds allows using non-biascorrected data. In  
28 comparison to fitting an extreme value theory distribution, the method is neither susceptible to  
29 outliers nor to non-stationarities in the time series.

### 30 3. APPLICATIONS AND RESULTS

31 **Future temperature and heavy precipitation trends:** Figure 1a illustrates the observed and  
32 projected rise in average temperature between 1951 and 2100. Temperature increases projected by  
33 the ensemble based on the SRES scenario A1B are smaller than those simulated by the RCP8.5  
34 based ensemble. Thus, the results of former projects on climate change impacts on infrastructure  
35 need to be reconsidered. First results based on a non-biascorrected ensemble show an overall  
36 increase of extreme precipitation events for Germany until the end of the 21st century (Figure 1b),  
37 particularly for the highest greenhouse gas emission scenario RCP8.5. Severe damages are usually  
38 connected with higher return periods than studied here at the daily time scale or with high  
39 precipitation totals during shorter time scales (minutes to hours). Thus, the obtained results need to  
40 be transferred to practical applications in the Network of Experts. Generally, the results obtained  
41 here on an annual basis for entire Germany may be detailed for sub-regions and seasons.



1  
2 **FIGURE 1** Regionally averaged time series (over Germany) of a) annual mean air temperature (10-year  
3 moving average) for observations (DWD/BfG-HYRAS-TAS) and bias-corrected simulations from  
4 ENSEMBLES (SRES A1B; 19 ensemble members; used within the KLIWAS project (24)) and  
5 EURO-CORDEX (RCP2.6/RCP8.5; 1/13 ensemble member(s); Status as of June 2016) and b) the annual  
6 frequency of daily heavy precipitation events above the 99<sup>th</sup> percentile (smoothing via Kernel estimator)  
7 for three RCP-scenarios (RCP2.6/RCP4.5/RCP8.5: 3/15/17 ensemble members; Status as of November 2016,  
8 ongoing work within the Network of Experts). Shading indicates the 15th to 85th percentile range and the line  
9 indicates the median.

10 **Catalogue of climate indices:** The impacts of climate change and extreme weather events on  
11 transport infrastructure and mobility will be assessed using impact models and climate indices.  
12 While the evaluation for waterways is largely based on impact models simulating for instance  
13 runoff, hydrodynamics, and morphodynamics, the assessments for federal rail and road  
14 infrastructure are generally based on climate indices directly derived from climate projections.  
15 Within the Network of Experts an index catalogue has been compiled that is discussed with  
16 scientists, engineers and practitioners in the agencies responsible for road, rail and water transport.

#### 17 4. CONCLUSIONS

18 This manuscript focuses on the provision of climate services to adapt the German inland transport  
19 sector to climate change and extreme weather events. The introduced climatological datasets, the  
20 proposed analysis and assessment methods, and the compiled catalogue of climate indices are  
21 important foundations for subsequent climate impact and risk assessment within the BMVI  
22 Network of Experts. The guidelines on climatological analyses presented here will be  
23 complemented by recommendations on other relevant scenarios regarding changes in sea level,  
24 hydrology, land-use, and transport.

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